

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 00025-01
In Re Application Of:  Thomas P. Sassi			
Serial No. Not Yet Known	Filing Date Herewith	Examiner Not Yet Known	Group Art Unit Not Yet Known
Title:  POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

JC971 U.S. PTO  
10/18/2001



#3

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
00025-01

In Re Application:

Thomas P. Sassi

Serial No.  
Not Yet Known

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Group Art Unit  
Not Yet Known

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS  
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.

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\*This certificate may only be used if paying by deposit account.

*James A. Jubinsky*  
Signature

James A. Jubinsky  
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Dated: 10/25/01

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JC971 U.S. PTO  
10/087266  
10/25/01

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>00025-01</b>		Application Number <b>Not Yet Known</b>		
				Applicant(s) <b>Thomas P. Sassi</b>				
				Filing Date <b>November 3, 2000</b>		Group Art Unit <b>Not Yet Known</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A	6,271,377 B1	08/07/01	Galbo et al.	546	14	02/25/99	
	B	5,574,162	11/12/96	Galbo et al.	546	188	05/31/95	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER					DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

318-348

APPLICATION NO.

To be assigned

APPLICANT

SASSI et al.

FILING DATE

Concurrently Herewith

GROUP

To be assigned

J3971 U.S. PRO  
10/087266

10/25/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	AB	3,309,220	03/1967	Osteen			
	AC	3,487,505	01/1970	Chisholm et al.			
	AD	3,557,265	01/1971	Chisholm et al.			
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							YES	NO
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	BR	309,401	03/1989	Europe				
	BS	309,402	03/1989	Europe				
	BT	434,608	06/1991	Europe				
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	CC	Dixit, A.N. et al. , "Facile Acid Catalyzed Ring Cleavage of N-acylated Lactams," <i>Tetrahedron Letters</i> 35:33, 6133-1634, 1994.
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	CH	Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> Edition, Vol. A18, pp. 368-426. VCH, Weinheim, 1991.
	CI	Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> Edition, Vol. A18, pp. 429-471. VCH, Weinheim, 1991.
	CJ	Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> Edition, Vol. A18, pp. 451-453.
	CK	Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> Edition, Vol. A18, p. 469, VCH Verlagsgesellschaft, Weinheim, 1991.
	CL	Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> Edition, Vol. A18, pp. 491-500.

EXAMINER

DATE CONSIDERED

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